

SPONTANE SYMMETRIEBRECHUNG IM SM

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EW gauge-boson sector of the SM

Gauge invariance and renormalizability completely determine the
kinetic terms for the gauge bosons

$$\mathcal{L}_{YM} = -\frac{1}{4}B_{\mu\nu}B^{\mu\nu} - \frac{1}{4}W_{\mu\nu}^a W_a^{\mu\nu}$$

$$B^{\mu\nu} = \partial^\mu B^\nu - \partial^\nu B^\mu$$

$$W_{\mu\nu}^a = \partial_\mu W_\nu^a - \partial_\nu W_\mu^a + g \epsilon^{abc} W_{b,\mu} W_{c,\nu}$$

The gauge symmetry does **NOT** allow **any mass terms** for W^\pm and Z ,
i.e. forbidden are terms like

$$\mathcal{L}_{Mass} = \frac{1}{2}m_W^2 W_\mu^a W_a^\mu$$

Spontaneous symmetry breaking

Experimentally, the weak bosons are massive. We give mass to the gauge bosons through the **Higgs mechanism**: generate mass terms from the **kinetic energy** term of a **scalar doublet** field Φ that undergoes spontaneous symmetry breaking.

Introduce a complex scalar doublet

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}, \quad Y_\Phi = \frac{1}{2}$$

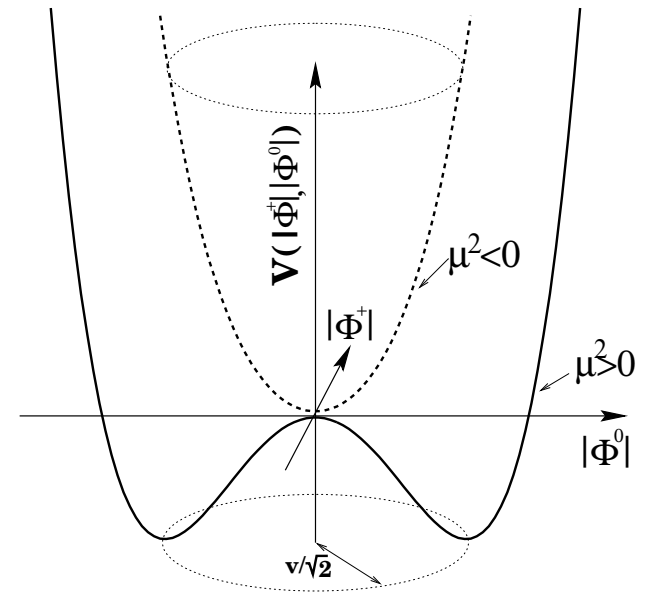
$$\mathcal{L}_{\text{Higgs}} = (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi^\dagger \Phi)$$

$$D^\mu = \partial^\mu - ig W_i^\mu \frac{\sigma^i}{2} - ig' Y_\Phi B^\mu$$

$$V(\Phi^\dagger \Phi) = V_0 - \mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2, \quad \mu^2, \lambda > 0$$

Notice the “**wrong**” mass sign.

$V(\Phi^\dagger \Phi)$ is $SU(2)_L \times U(1)_Y$ symmetric.



Spontaneous symmetry breaking

The potential has minima at

$$|\Phi|^2 = \frac{\mu^2}{2\lambda} \equiv \frac{v^2}{2}$$

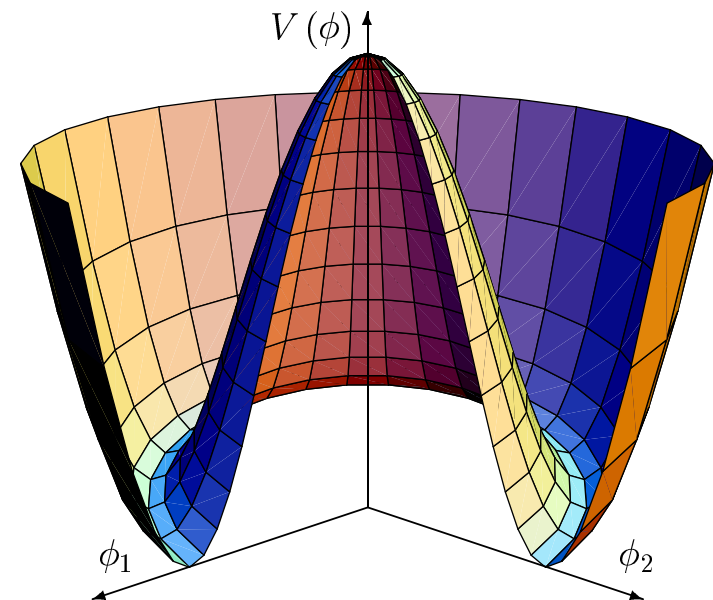
All these minimum configurations (ground states) are connected by gauge transformations, that change the phase of the complex field Φ , without affecting its modulus.

v is called the **vacuum expectation value (VEV)** of the neutral component of the Higgs doublet.

When the system chooses one of the minimum configurations, **this configuration** is **no longer symmetric** under the the gauge symmetry.

This is called **spontaneous symmetry breaking**.

The **Lagrangian** is still **gauge invariant** and all properties connected with it (such as current conservation) still hold!



Expanding Φ around the minimum

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \begin{pmatrix} \phi^+ \\ \frac{1}{\sqrt{2}} [v + H(x) + i\chi(x)] \end{pmatrix} = \frac{1}{\sqrt{2}} \exp \left[\frac{i\sigma_i \theta^i(x)}{v} \right] \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix}$$

We can **rotate away** the fields $\theta^i(x)$ by an $SU(2)_L$ gauge transformation

$$\Phi(x) \rightarrow \Phi'(x) = U(x)\Phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix}$$

where $U(x) = \exp \left[-\frac{i\sigma_i \theta^i(x)}{v} \right]$.

This gauge choice, called **unitary gauge**, is equivalent to **absorbing the Goldstone modes** $\theta^i(x)$.

The **vacuum state** can be chosen to correspond to the vacuum expectation value

$$\Phi_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$$

Notice that **only** a **scalar** field can have a **vacuum expectation value**. The **VEV** of a fermion or vector field would break Lorentz invariance.

$$\text{SU}(2)_L \times \text{U}(1)_Y \rightarrow \text{U}(1)_{\text{em}}$$

Let's verify that the vacuum state **breaks** the gauge symmetry.

A state $\tilde{\Phi}$ is invariant under a symmetry operation $\exp(igT^a\theta_a)$ if

$$\exp(igT^a\theta_a)\tilde{\Phi} = \tilde{\Phi}$$

This means that a state is invariant if (just expand the exponent)

$$T^a\tilde{\Phi} = 0$$

For the $\text{SU}(2)_L \times \text{U}(1)_Y$ case we have

$$\begin{aligned}\sigma_1\Phi_0 &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} = \begin{pmatrix} v/\sqrt{2} \\ 0 \end{pmatrix} \neq 0 && \text{broken} \\ \sigma_2\Phi_0 &= \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} = \begin{pmatrix} -iv/\sqrt{2} \\ 0 \end{pmatrix} \neq 0 && \text{broken}\end{aligned}$$

$$\text{SU}(2)_L \times \text{U}(1)_Y \rightarrow \text{U}(1)_{\text{em}}$$

$$\sigma_3 \Phi_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} = \begin{pmatrix} 0 \\ -v/\sqrt{2} \end{pmatrix} \neq 0 \quad \text{broken}$$

$$Y \Phi_0 = Y_\Phi \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} = +\frac{1}{2} \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} \neq 0 \quad \text{broken}$$

But, if we examine the effect of the **electric charge operator** \hat{Q} on the (electrically neutral) vacuum state, we have ($Y_\Phi = 1$)

$$\hat{Q} \Phi_0 = \left(\frac{1}{2} \sigma_3 + Y \right) \Phi_0 = \begin{pmatrix} Y_\Phi + \frac{1}{2} & 0 \\ 0 & Y_\Phi - \frac{1}{2} \end{pmatrix} \Phi_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

the **electric charge symmetry** is **unbroken**!

Consequences for the scalar field H

The scalar potential

$$V(\Phi^\dagger \Phi) = \lambda \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^2$$

expanded around the vacuum state

$$\Phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix}$$

becomes

$$V = \frac{\lambda}{4} (2vH + H^2)^2 = \frac{1}{2} (2\lambda v^2) H^2 + \lambda v H^3 + \frac{\lambda}{4} H^4$$

Consequences:

- the scalar field H gets a mass which is given by the quartic coupling λ

$$m_H^2 = 2\lambda v^2$$

- there is a term of cubic and quartic self-coupling.

Higgs kinetic terms and coupling to W, Z

$$\begin{aligned}
 D^\mu \Phi &= \left(\partial^\mu - ig W_i^\mu \frac{\sigma^i}{2} - ig' \frac{1}{2} B^\mu \right) \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix} \\
 &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \partial^\mu H \end{pmatrix} - \frac{i}{2\sqrt{2}} \left[g \begin{pmatrix} W_3^\mu & W_1^\mu - iW_2^\mu \\ W_1^\mu + iW_2^\mu & -W_3^\mu \end{pmatrix} + g' B^\mu \right] \begin{pmatrix} 0 \\ v + H \end{pmatrix} \\
 &= \frac{1}{\sqrt{2}} \left[\begin{pmatrix} 0 \\ \partial^\mu H \end{pmatrix} - \frac{i}{2} (v + H) \begin{pmatrix} g(W_1^\mu - iW_2^\mu) \\ -gW_3^\mu + g'B^\mu \end{pmatrix} \right] \\
 &= \frac{1}{\sqrt{2}} \left[\begin{pmatrix} 0 \\ \partial^\mu H \end{pmatrix} - \frac{i}{2} \left(1 + \frac{H}{v} \right) \begin{pmatrix} gv\sqrt{2}W^{\mu+} \\ -\sqrt{g^2 + g'^2}vZ^\mu \end{pmatrix} \right]
 \end{aligned}$$

$$(D^\mu \Phi)^\dagger D_\mu \Phi = \frac{1}{2} \partial^\mu H \partial_\mu H + \left[\left(\frac{gv}{2} \right)^2 W^{\mu+} W_\mu^- + \frac{1}{2} \frac{(g^2 + g'^2) v^2}{4} Z^\mu Z_\mu \right] \left(1 + \frac{H}{v} \right)^2$$

We have defined

$$W_{\mu}^{\pm} = \frac{1}{\sqrt{2}} \left(W_{\mu}^1 \mp i W_{\mu}^2 \right) ,$$
$$Z_{\mu} = \frac{1}{\sqrt{g^2 + g'^2}} \left(g W_{\mu}^3 - g' B_{\mu} \right) = \cos \theta_W W_{\mu}^3 - \sin \theta_W B_{\mu}$$

with

$$\sin \theta_W = \frac{g'}{\sqrt{g^2 + g'^2}} , \quad \cos \theta_W = \frac{g}{\sqrt{g^2 + g'^2}} ,$$

The orthogonal combination of the neutral gauge fields is the **photon**

$$A_{\mu} = \frac{1}{\sqrt{g^2 + g'^2}} \left(g' W_{\mu}^3 + g B_{\mu} \right) = \sin \theta_W W_{\mu}^3 + \cos \theta_W B_{\mu}$$

With these definitions the gauge kinetic term

$$\mathcal{L}_{kin}^{gauge} = -\frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{1}{4} W_{\mu\nu}^3 W^{3,\mu\nu} - \frac{1}{2} W_{\mu\nu}^+ W^{\mu\nu-}$$

and the mass term become

$$\mathcal{L}_{kin}^{gauge} = -\frac{1}{4} A_{\mu\nu} A^{\mu\nu} - \frac{1}{4} Z_{\mu\nu} Z^{\mu\nu} - \frac{1}{2} W_{\mu\nu}^+ W^{\mu\nu-}$$
$$\mathcal{L}_{mass}^{gauge} = \left(\frac{gv}{2} \right)^2 W^{\mu+} W_{\mu}^{-} + \frac{1}{2} \frac{(g^2 + g'^2) v^2}{4} Z^{\mu} Z_{\mu}$$

Consequences

- The W and Z gauge bosons have acquired masses

$$m_W^2 = \frac{g^2 v^2}{4} \qquad m_Z^2 = \frac{(g^2 + g'^2) v^2}{4} = \frac{m_W^2}{\cos^2 \theta_W}$$

From the measured value of the Fermi constant G_F

$$\frac{G_F}{\sqrt{2}} = \left(\frac{g}{2\sqrt{2}} \right)^2 \frac{1}{m_W^2} \quad \Rightarrow \quad v = \sqrt{\frac{1}{\sqrt{2}G_F}} \approx 246.22 \text{ GeV}$$

- the photon stays massless
- HWW and HZZ couplings from $2H/v$ term (and $HHWW$ and $HHZZ$ couplings from H^2/v^2 term)

$$\mathcal{L}_{HVV} = \frac{2m_W^2}{v} W_\mu^+ W^{-\mu} H + \frac{m_Z^2}{v} Z^\mu Z_\mu H \equiv g m_W W_\mu^+ W^{-\mu} H + \frac{1}{2} \frac{g m_Z}{\cos \theta_W} Z^\mu Z_\mu H$$

Higgs coupling proportional to mass

- tree-level HVV (V = vector boson) coupling requires VEV!
Normal scalar couplings give $\Phi^\dagger \Phi V$ or $\Phi^\dagger \Phi VV$ couplings only.

Fermion fields of the SM and gauge quantum numbers

				<u>$SU(3)$</u>	<u>$SU(2)$</u>	<u>$U(1)_Y$</u>
$Q_L^i =$	$\begin{pmatrix} u_L \\ d_L \end{pmatrix}$	$\begin{pmatrix} c_L \\ s_L \end{pmatrix}$	$\begin{pmatrix} t_L \\ b_L \end{pmatrix}$	3	2	$\frac{1}{6}$
$u_R^i =$	u_R	c_R	t_R	3	1	$\frac{2}{3}$
$d_R^i =$	d_R	s_R	b_R	3	1	$-\frac{1}{3}$
$L_L^i =$	$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}$	$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix}$	$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix}$	1	2	$-\frac{1}{2}$
$e_R^i =$	e_R	μ_R	τ_R	1	1	-1
$\nu_R^i =$	ν_{eR}	$\nu_{\mu R}$	$\nu_{\tau R}$	1	1	0

Fermion mass generation

A **direct mass term** is **not** invariant under $SU(2)_L$ or $U(1)_Y$ gauge transformation

$$m_f \bar{\psi}\psi = m_f (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R)$$

Generate fermion masses through Yukawa-type interactions terms

$$\begin{aligned}\mathcal{L}_{\text{Yukawa}} = & -\Gamma_d \bar{Q}_L \Phi d_R - \Gamma_d \bar{d}_R \Phi^\dagger Q_L \\ & -\Gamma_u \bar{Q}_L \Phi_c u_R + \text{h.c.} \\ & -\Gamma_e \bar{L}_L \Phi e_R + \text{h.c.} \\ & -\Gamma_\nu \bar{L}_L \Phi_c \nu_R + \text{h.c.}\end{aligned}\quad \Phi_c = i\sigma_2 \Phi^* = \frac{1}{\sqrt{2}} \begin{pmatrix} v + H(x) \\ 0 \end{pmatrix}$$

where Q, L are left-handed doublet fields and d_R, u_R, e_R, ν_R are right-handed $SU(2)$ -singlet fields.

Notice: neutrino masses can be implemented via Γ_ν term. Since $m_\nu \approx 0$ we neglect it in the following.

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$$m_f \bar{\psi} \psi = m_f (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R)$$

Generate fermion masses through Yukawa-type interactions terms

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}} = & -\Gamma_d^{ij} \bar{Q}'^i_L \Phi d'^j_R - \Gamma_d^{ij*} \bar{d}'^i_R \Phi^\dagger Q'^j_L \\ & -\Gamma_u^{ij} \bar{Q}'^i_L \Phi_c u'^j_R + \text{h.c.} \\ & -\Gamma_e^{ij} \bar{L}^i_L \Phi e^j_R + \text{h.c.} \end{aligned} \quad \Phi_c = i\sigma_2 \Phi^* = \frac{1}{\sqrt{2}} \begin{pmatrix} v + H(x) \\ 0 \end{pmatrix}$$

where Q' , u' and d' are quark fields that are generic linear combination of the mass eigenstates u and d and Γ_u , Γ_d and Γ_e are 3×3 complex matrices in generation space, spanned by the indices i and j .

$\mathcal{L}_{\text{Yukawa}}$ is **Lorentz invariant**, **gauge invariant** and **renormalizable**, and therefore it can (actually it **must**) be included in the Lagrangian.

Expanding around the vacuum state

In the unitary gauge we have

$$\begin{aligned}\bar{Q}_L'^i \Phi d_R'^j &= \begin{pmatrix} \bar{u}_L'^i & \bar{d}_L'^i \end{pmatrix} \begin{pmatrix} 0 \\ \frac{v+H}{\sqrt{2}} \end{pmatrix} d_R'^j = \frac{v+H}{\sqrt{2}} \bar{d}_L'^i d_R'^j \\ \bar{Q}_L'^i \Phi_c u_R'^j &= \begin{pmatrix} \bar{u}_L'^i & \bar{d}_L'^i \end{pmatrix} \begin{pmatrix} \frac{v+H}{\sqrt{2}} \\ 0 \end{pmatrix} u_R'^j = \frac{v+H}{\sqrt{2}} \bar{u}_L'^i u_R'^j\end{aligned}$$

and we obtain

$$\begin{aligned}\mathcal{L}_{\text{Yukawa}} &= -\Gamma_d^{ij} \frac{v+H}{\sqrt{2}} \bar{d}_L'^i d_R'^j - \Gamma_u^{ij} \frac{v+H}{\sqrt{2}} \bar{u}_L'^i u_R'^j - \Gamma_e^{ij} \frac{v+H}{\sqrt{2}} \bar{e}_L^i e_R^j + \text{h.c.} \\ &= -\left[M_u^{ij} \bar{u}_L'^i u_R'^j + M_d^{ij} \bar{d}_L'^i d_R'^j + M_e^{ij} \bar{e}_L^i e_R^j + \text{h.c.} \right] \left(1 + \frac{H}{v} \right)\end{aligned}$$

with mass matrices $M^{ij} = \Gamma^{ij} \frac{v}{\sqrt{2}}$

Diagonalizing M_f

It is always possible to **diagonalize** M_f^{ij} ($f = u, d, e$) with a bi-unitary transformation ($U_{L/R}^f$ **must be unitary** in order to **preserve** the form of the **kinetic terms** in the Lagrangian)

$$\begin{aligned} f'_{Li} &= (U_L^f)_{ij} f_{Lj} \\ f'_{Ri} &= (U_R^f)_{ij} f_{Rj} \end{aligned}$$

with U_L^f and U_R^f chosen such that

$$(U_L^f)^\dagger M_f U_R^f = \text{diagonal}$$

For example:

$$(U_L^u)^\dagger M_u U_R^u = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix} \quad (U_L^d)^\dagger M_d U_R^d = \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix}$$

Mass terms

$$\begin{aligned}
 \mathcal{L}_{\text{Yukawa}} &= - \sum_{f', i, j} M_f^{ij} \bar{f}_L'^i f_R'^j \left(1 + \frac{H}{v} \right) + \text{h.c.} \\
 &= - \sum_{f, i, j} \bar{f}_L^i \left[\left(U_L^f \right)^\dagger M_f U_R^f \right]_{ij} f_R^j \left(1 + \frac{H}{v} \right) + \text{h.c.} \\
 &= - \sum_f m_f (\bar{f}_L f_R + \bar{f}_R f_L) \left(1 + \frac{H}{v} \right) = \sum_f m_f \bar{f} f \left(1 + \frac{H}{v} \right)
 \end{aligned}$$

We succeed in producing **fermion masses** and we got a **fermion-antifermion-Higgs coupling** proportional to the **fermion mass**.

The Higgs Yukawa couplings are flavor diagonal: **no flavor changing** Higgs interactions.

Mass diagonalization and charged current interaction

The charged current interaction is given by

$$\frac{e}{\sqrt{2} \sin \theta_W} \bar{u}'^i_L \mathcal{W}^+ d'^i_L + \text{h.c.}$$

After the mass diagonalization described previously, this term becomes

$$\frac{e}{\sqrt{2} \sin \theta_W} \bar{u}^i_L \left[(U_L^u)^\dagger U_L^d \right]_{ij} \mathcal{W}^+ d^j_L + \text{h.c.}$$

and we define the **Cabibbo-Kobayashi-Maskawa** matrix V_{CKM}

$$V_{CKM} = (U_L^u)^\dagger U_L^d$$

- V_{CKM} is **not diagonal** and then it **mixes** the **flavors** of the different quarks.
- It is a **unitary** matrix and the values of its entries must be determined from experiments.

Mass diagonalization and neutral current interaction

The **neutral** current interaction for e.g. down type quarks is given by

$$\frac{e}{\sin \theta_W \cos \theta_W} \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W \right) \bar{d}_L^i Z d_L^i + \frac{e}{\sin \theta_W \cos \theta_W} \left(+\frac{1}{3} \sin^2 \theta_W \right) \bar{d}_R^i Z d_R^i$$

After the mass diagonalization described previously, this term becomes

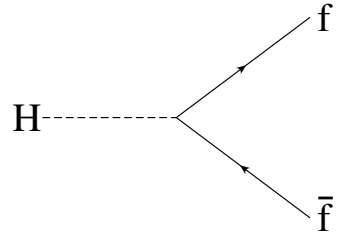
$$\frac{e}{\sin \theta_W \cos \theta_W} \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W \right) \bar{d}_L^i \left[\left(U_L^d \right)^\dagger U_L^d \right]_{ij} Z d_L^j + \frac{e}{\sin \theta_W \cos \theta_W} \left(+\frac{1}{3} \sin^2 \theta_W \right) \bar{d}_R^i \left[\left(U_R^d \right)^\dagger U_R^d \right]_{ij} Z d_R^j$$

Now the unitary matrices cancel and the Z interaction is flavor diagonal also in the mass eigenstate basis

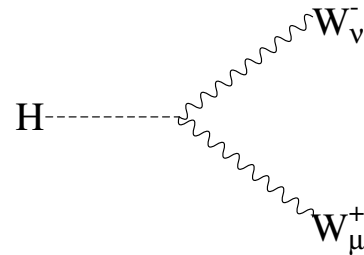
$$\frac{e}{\sin \theta_W \cos \theta_W} \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W \right) \bar{d}_L^i Z d_L^i + \frac{e}{\sin \theta_W \cos \theta_W} \left(+\frac{1}{3} \sin^2 \theta_W \right) \bar{d}_R^i Z d_R^i$$

It works the same way for the other flavors. This mechanism is called the **GIM mechanism** after Glashow, Iliopoulos and Maiani, who invented it.

Feynman rules for Higgs couplings



$$-i \frac{m_f}{v}$$



$$ig \, m_W \, g_{\mu\nu}$$



$$i g \frac{1}{\cos \theta_W} m_Z g_{\mu\nu}$$

Within the Standard Model, the Higgs couplings are almost completely constrained. The only **free** parameter (not yet measured) is the **Higgs mass**

$$m_H^2 = 2\lambda v^2$$